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Date: April 7, 2003

Name: Melissa Scanzillo

Signature: Melissa Scanzillo

Clifford Chance US LLP

Docket No. 3499-063

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Reich et al.

Filed: June 8, 2001 Group Art Unit: 2161

Serial No: 09/877,439 Examiner: to be determined

For: METHOD AND SYSTEM FOR AUTOMATED TRANSACTION
COMPLIANCE PROCESSING

PRELIMINARY AMENDMENT

Commissioner for Patents
Washington, D.C. 20231

Sir:

Prior to the examination of the above-identified application, please amend the application as follows:

Amendments to the Specification begin on page 2 of this paper.

Amendments to the Claims are reflected in the listing of the claims which begins on page 5 of this paper.

Amendments to the Drawings begin on page 12.

Remarks begin on page 13 of this paper.

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